

#### **Annexure C**

## Complaints against SBI-SG Global Securities Services Pvt. Ltd. (DP)

### Data for the Month ending Dec 2022 -

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	•	8
1	Directly from Investors	0	0	0	0	0	)	0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Depositories	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	Grand Total	0	0	0	0	0	)	0

# Trend of monthly disposal of complaints for the Financial Year

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April 2022	0	0	0	0
2	May 2022	0	0	0	0
3	Jun 2022	0	0	0	0
4	Jul 2022	0	0	0	0
5	Aug 2022	0	0	0	0
6	Sep 2022	0	0	0	0
7	Oct 2022	0	0	0	0

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8	Nov 2022	0	0	0	0
9	Dec 2022	0	0	0	0
	Grand Total	0	0	0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of Annual (FY) disposal of complaints (For 3 years on rolling basis)-

SN	Year	Carried forward	Received	Resolved	Pending at
		from previous	during the	during the	the end of the
		year	year	year	year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
	Grand Total	0	0	0	0

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<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.